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SECTOR

PATENT
Docket No.: 3553-4018

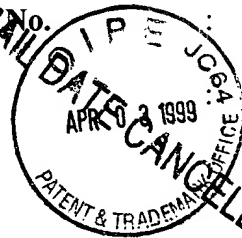
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Jay S. WALKER, Thomas M. SPARICO & Robert S. WISSE

Serial No. : 09/252,574 Group Art Unit : 2761

Filed : February 18, 1999 Examiner : TBA

For : **SYSTEM AND METHOD FOR ALLOCATING BUSINESS TO ONE OF A PLURALITY OF SELLERS IN A BUYER DRIVEN ELECTRONIC COMMERCE SYSTEM**



CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

Box Missing Parts
ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

I hereby certify that the attached:

1. Response to Missing Parts (in duplicate);
2. Executed Declaration/Power of Attorney;
3. Executed Declaration of Small Entity Status;
4. Part 2 of PTO Form 1533;
5. Check for \$65.00; and
6. Return Receipt Postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

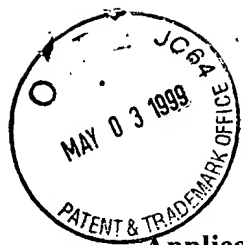
Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Chris L. Holm

April 28, 1999

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154
(212)758-4800 / (212)751-6849 (facsimile)

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Docket No.: 3553-4018

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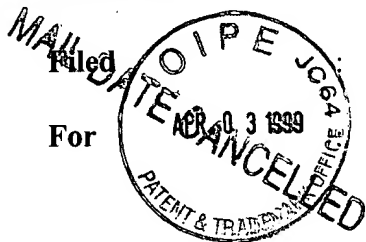
For **SYSTEM AND METHOD FOR ALLOCATING BUSINESS TO
ONE OF A PLURALITY OF SELLERS IN A BUYER DRIVEN
ELECTRONIC COMMERCE SYSTEM**

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED

Box Missing Parts
ASSISTANT COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts (FORM PTO-1533) mailed March 26, 1999, applicant encloses herewith an executed Declaration/Power of Attorney for the above-identified application which was filed on February 18, 1999 without a Declaration, pursuant to 37 C.F.R. §1.53. An executed Declaration claiming small entity status is included as well.



Also enclosed is FORM-PTO-1533 and a check in the amount of Sixty Five

Dollars (\$65.00) (Small Entity) to cover the surcharge for filing the Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). The Commissioner is hereby authorized to charge any deficiencies in fees to Deposit Account No. 13-4500. Order No. 3553-4018. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.



Chris L. Holm
Reg. No.: 39,227

April 28, 1999

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154
(212)758-4800 / (212)751-6849 (facsimile)

CASE 3553-4018 ATTORNEY CLH

DUE DATE MAY 16, 1999

STATUTORY DATE MAY 16, 1999

UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

BY

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/199 APR 41 A 1:58 WALKER

1999 APR -1 A 1:55 J

3553-4018

MORGAN & FINNEGAN LLP

MORGAN & FINNEGAN LLP
345 PARK AVENUE
NEW YORK, NY 10154

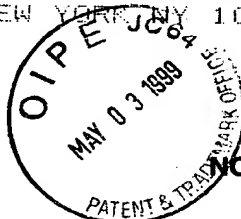
02427 MORGAN & FINNEGAN LLP

NOT ASSIGNED

2761

DATE MAILED:

03/26/99



NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

05/07/1999 CUDRACHA 00000043 09252574 65.00 0P 01 FC:205